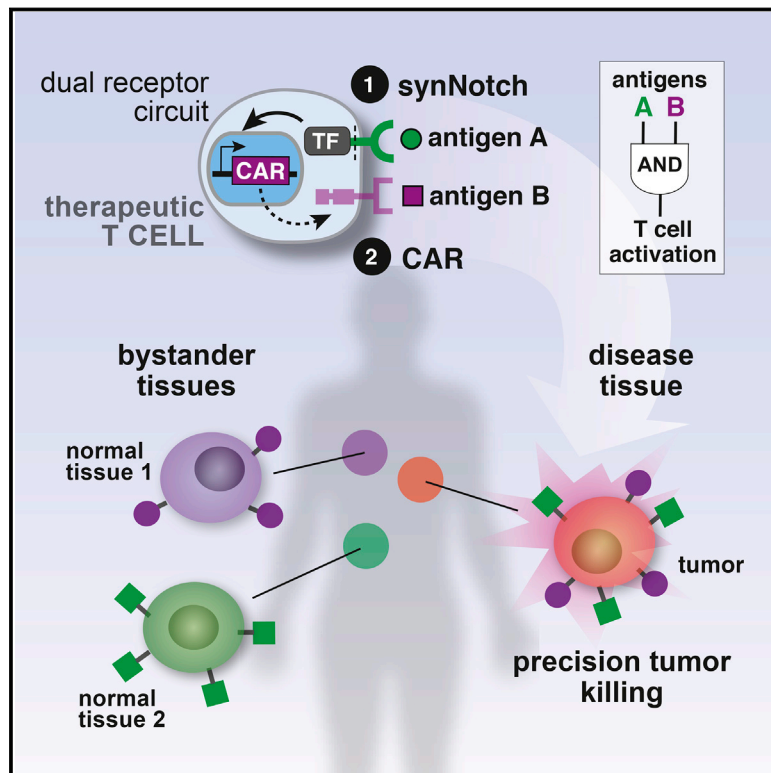


# Precision Tumor Recognition by T Cells With Combinatorial Antigen-Sensing Circuits

## Graphical Abstract



## Authors

Kole T. Roybal, Levi J. Rupp, Leonardo Morsut, Whitney J. Walker, Krista A. McNally, Jason S. Park, Wendell A. Lim

## Correspondence

wendell.lim@ucsf.edu

## In Brief

T cells engineered with dual-receptor circuits that recognize combinations of antigens can efficiently kill target tumor cells in vivo, while sparing bystander cells.

## Highlights

- Engineering of AND-gate T cells activated only by dual antigen recognition
- The synNotch receptor (senses antigen 1) induces CAR expression (senses antigen 2)
- AND-gate T cells spare single antigen cells but kill dual antigen tumors in vivo
- SynNotch/CAR circuits expand set of antigens that can be targeted by immunotherapy



# Precision Tumor Recognition by T Cells With Combinatorial Antigen-Sensing Circuits

Kole T. Roybal,<sup>1,2,3</sup> Levi J. Rupp,<sup>1,2,3</sup> Leonardo Morsut,<sup>1,2,3</sup> Whitney J. Walker,<sup>1,2,3</sup> Krista A. McNally,<sup>1,2,3</sup> Jason S. Park,<sup>1,2,3</sup> and Wendell A. Lim<sup>1,2,3,\*</sup>

<sup>1</sup>Department of Cellular & Molecular Pharmacology, University of California, San Francisco, San Francisco, CA 94158, USA

<sup>2</sup>Center for Systems and Synthetic Biology, University of California, San Francisco, San Francisco, CA 94158, USA

<sup>3</sup>Howard Hughes Medical Institute, San Francisco, CA 94158, USA

\*Correspondence: [wendell.lim@ucsf.edu](mailto:wendell.lim@ucsf.edu)

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## SUMMARY

T cells can be re-directed to kill cancer cells using chimeric antigen receptors (CARs) or T cell receptors (TCRs). This approach, however, is constrained by the rarity of tumor-specific single antigens. Targeting antigens also found on bystander tissues can cause life-threatening adverse effects. A powerful way to enhance ON-target activity of therapeutic T cells is to engineer them to require combinatorial antigens. Here, we engineer a combinatorially activated T cell circuit in which a synthetic Notch receptor for one antigen induces the expression of a CAR for a second antigen. These dual-receptor AND-gate T cells are only armed and activated in the presence of dual antigen tumor cells. These T cells show precise therapeutic discrimination *in vivo*—sparing single antigen “bystander” tumors while efficiently clearing combinatorial antigen “disease” tumors. This type of precision dual-receptor circuit opens the door to immune recognition of a wider range of tumors.

## INTRODUCTION

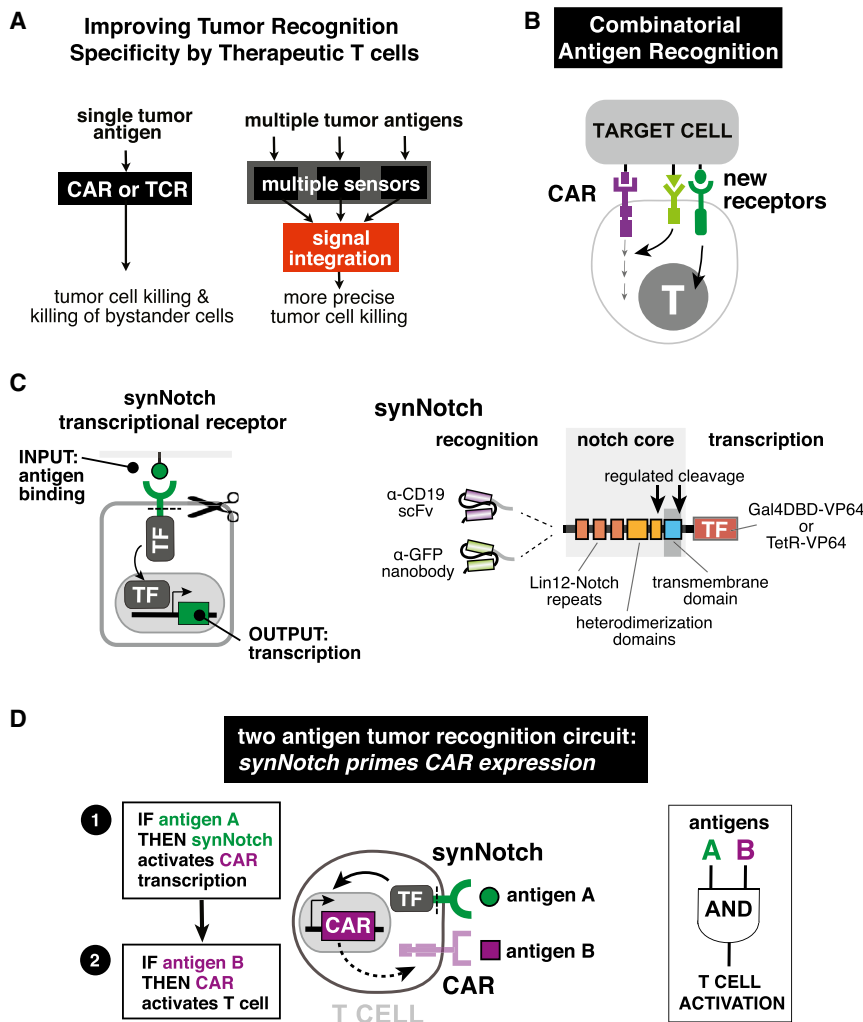
Recent advances in immunotherapy have demonstrated that T cells can be redirected to recognize and eliminate tumors using chimeric antigen receptors (CARs) or engineered T cell receptors (TCRs) that bind tumor-specific antigens (Barrett et al., 2014a; June et al., 2009). The application of this therapeutic approach, however, is limited by the rarity of single, highly specific tumor-only antigens. Few antigens are absolutely tumor specific, and T cells targeted to antigens that are also found on normal bystander tissues can cause life-threatening adverse side effects. The most successful T cell therapies, to date, have been targeted to B cell malignancies, utilizing CARs directed to the B cell-specific antigen CD19. Even in this successful treatment, however, normal B cells are targeted and eradicated (Brentjens et al., 2013; Grupp et al., 2013; Porter et al., 2011). Although patients can live without B cells, this type of treatment would be far more broadly applicable if T cell therapeutics could more reliably discriminate normal tissue from diseased (Lamers et al., 2006; Morgan et al., 2013; 2010; Sadelain et al., 2009).

Attempting to discriminate cancer cells via a single receptor that recognizes a single antigen is inherently a one-dimensional approach, and it would be a significant improvement if multiple receptors could be used to combinatorially detect multiple antigens (Figures 1A and 1B) (Barrett et al., 2014b). Such multi-antigen approaches would take full advantage of the capabilities of a cell-based therapy, as cells usually integrate multiple inputs to modulate their natural decisions in sophisticated ways.

Prior strategies to engineer multi-input control of T cells have focused on expressing two CARs in the same cell, each with partial signaling function and distinct extracellular antigen recognition domains (Kloss et al., 2013; Wilkie et al., 2012). While such cells show enhanced activation when both target antigens are present, success of this approach relies on delicately balancing the same set of coordinated signaling events that occur downstream from the CAR. Thus, behavior is highly dependent on the exact expression ratios and activities of the different receptor chains, leading to less robust and predictable behavior. Moreover, partial independent function of each receptor inherently limits the dynamic range of this approach—it remains challenging to obtain an AND-gate T cell that is both fully inhibited in the presence of either individual antigen but fully activated in the presence of both antigens.

To construct more reliable multi-antigen responses, it would be ideal to have new receptors that function completely independently from the CAR/TCR pathway but that can interface with CAR activity in a controlled manner (Figure 1B). Recently, we have developed a new class of modular receptors called synthetic Notch (synNotch) receptors (Morsut et al., 2016 [this issue of *Cell*]). SynNotch receptors use an extracellular recognition domain (e.g., single-chain variable fragment [scFv]) to recognize a target antigen but, unlike CARs, binding of the target antigen does not trigger T cell activation. Instead, ligand engagement leads to cleavage of the receptor and to release of a transcriptional activator domain, which can in turn enter the nucleus and drive expression of user specified target genes (Figure 1C). These receptors harness the mechanical activation mechanism of Notch (Gordon et al., 2015).

Here, we show that we can construct combinatorial antigen recognition T cell circuits in which a synNotch receptor for one antigen drives the inducible expression of a CAR for a second antigen (Figure 1D). These dual-receptor T cells are only armed and activated in the presence of dual antigen tumor cells (AND-gate). These combinatorially gated T cells show a



**Figure 1. Design of Combinatorial Antigen Sensing Circuits in T Cells Using Sequentially Regulated SynNotch and Chimeric Antigen Receptors**

(A) CAR or tumor-specific TCR T cells generally target single antigens, often causing off-target tissue damage. Improved therapeutic T cells will require multiple sensors that recognize combinations of both tumor antigens and tissue-specific antigens, allowing the cells to assess their environment and make more precise decisions on when to activate. Such therapeutic cells would be better equipped to distinguish the target diseased tissue from normal tissue.

(B) New types of receptors that sense combinations of antigens and regulate T cell signaling and transcription must be built to allow for sophisticated cellular decision making and more precise therapeutic T cell responses.

(C) SynNotch receptors are engineered with a custom extracellular ligand-binding domain (e.g., scFv or nanobody) directed toward an antigen of interest (e.g., CD19 or surface GFP). Upon ligand recognition by the synNotch receptor, an orthogonal transcription factor (e.g., TetR-VP64 or Gal4-VP64) is cleaved from the cytoplasmic tail that regulates a custom genetic circuit.

(D) Design of a synNotch AND-gate circuit that requires T cells to sense two antigens to activate. This AND-gate signaling circuit works in two sequential steps: (1) a synNotch receptor allows the T cell to recognize the first antigen A and (2) the T cell expresses a CAR directed toward a second tumor antigen B. If antigen A and antigen B are present, the T cells can activate and kill the target tumor.

remarkable degree of therapeutic discrimination both in vitro and in vivo—sparing single antigen “bystander” tumors while efficiently eradicating combinatorial antigen “disease” tumors. Here, we are able to harness the computational power of a dynamic synthetic regulatory circuit to achieve much higher target-cell discrimination, while still maintaining a high dynamic range of tumor killing. Given the modularity of both CARs and synNotch receptors, and the robust discrimination we observe, this type of dual-receptor circuit could lead to precise immune recognition of a much larger set of tumors.

## RESULTS

### Design of a Two Antigen AND-Gate Circuit: SynNotch Receptors Induce CAR Expression

The design of a simple two receptor AND-gate circuit is outlined in Figure 1D. A T cell is engineered to constitutively express a synNotch receptor that recognizes antigen A. In addition, the gene for a CAR that recognizes antigen B would also be inserted into the cell, but it would be under the control of a promoter that

requires activation by the synNotch-induced transcription factor (synNotch engagement results in receptor cleavage and release of a transcriptional activation domain; Morsut et al., 2016). Thus, no CAR expression or activity should be present in the cell until the synNotch receptor is activated. This sequential receptor activation circuit is highly modular in design since swapping extracellular domains can easily change the antigen recognition properties of both receptors.

### Testing SynNotch-Gated CAR Expression in Jurkat T Cells—Combinatorial Antigen Requirement for Jurkat T Cell Activation

To test the concept of utilizing synNotch receptors to control the expression of CARs, we first attempted to engineer combinatorial antigen control over the activation of Jurkat T cells. In these experiments, we targeted two model tumor antigens, CD19 and mesothelin. The Jurkat T cells were engineered with an α-CD19 synNotch receptor bearing an intracellular tetracycline-controlled transactivator (tTa) domain (TetR-VP64). We also inserted the α-mesothelin CAR gene (with 4-1BBζ costimulatory

domain), which is under the control of a promoter with the corresponding tetracycline response elements (TRE) activated by the synNotch receptor (Figures 2A and 2B). The engineered Jurkats were co-cultured in vitro with target K562 myelogenous leukemia cells with ectopic expression of CD19, mesothelin, or both antigens together (Figures 2A and 2B). Since these engineered Jurkat T cells only express the  $\alpha$ -mesothelin CAR in response to  $\alpha$ -CD19 synNotch stimulation, the T cells should not activate in response to mesothelin alone. If the T cells are exposed to CD19, the  $\alpha$ -mesothelin CAR is expressed and the T cells are primed for activation. The T cells can then sense mesothelin and activate (Figures 2A and 2B).

When we tested these cells, we indeed observed activation only by the tumor cells that expressed both CD19 and mesothelin, as measured by the upregulation of the activation marker CD69 and by the secretion of the cytokine IL-2. Tumor cells expressing either single antigen did not lead to activation (Figures 2C and 2D). We analyzed the dynamics of T cell activation when stimulated by dual antigens (Figures 2E, S1A, and S1B). The Jurkat synNotch AND-Gate T cells induce CAR expression in response to tumor cell stimulation with a  $t_{1/2}$  of  $\sim 6$  hr, reaching steady-state expression by 24 hr (Figures 2E, S1A, and S1B). The subsequent T cell activation (monitored via CD69 expression) occurs after CAR expression initiates, with an expected sequential delay of an additional  $\sim 6$  hr. Thus, the effective composite half-time for T cell activation by this two-step circuit is  $\sim 13$  hr (Figure 2E).

We also characterized the decay dynamics of synNotch-induced CAR expression (Figures 2F and S2C). We first exposed the synNotch T cells to a surrogate of the priming antigen CD19. Since the  $\alpha$ -CD19 synNotch receptor has a Myc-tag on its extracellular domain, we previously found that the receptor could also be activated by exposure of the cells to  $\alpha$ -Myc-antibody-coated plates. This activation approach allows for rapid cessation of synNotch activation by removing cells from the plate-bound antigen. After 24 hr of stimulus with  $\alpha$ -Myc antibody, we removed the T cells and monitored the decay of  $\alpha$ -mesothelin CAR expression over 24 hr. The half-life of CAR expression after removal of the synNotch stimulus was  $\sim 8$  hr (Figures 2F and S1C).

### SynNotch-Gated CAR Expression in Human Primary T Cells—Combinatorial Antigen Control over T Cell Activation and Tumor Killing

Given the success of the synNotch AND-gate in Jurkat T cells, we then tested whether the same type of synNotch-driven CAR expression circuit could function in primary T cells to discriminate multiple antigens. We tested various synNotch receptors in primary T cells and found that the Gal4-VP64 transcriptional activation domain worked reliably, yielding good synNotch receptor expression and minimal basal transcriptional activity. This is an ideal scenario for the synNotch  $\rightarrow$  CAR AND-gate because there should be no basal expression of the CAR until the T cells sense the synNotch antigen.

As a proof-of-principle demonstration of this approach, we first utilized an  $\alpha$ -GFP synNotch receptor ( $\alpha$ -GFP nanobody recognizes surface-expressed GFP and intracellular Gal4VP64 is released) to drive expression of the  $\alpha$ -CD19 4-1BB $\zeta$  CAR (Figure 3A). Our rationale for choosing this model setup is that the

$\alpha$ -CD19 CAR is a gold standard in the field of immunotherapy and it shows potent tumor clearance in vivo.

Human primary CD4+ T cells were engineered with the  $\alpha$ -GFP synNotch Gal4VP64 receptor and the corresponding response elements controlling  $\alpha$ -CD19 4-1BB $\zeta$  CAR expression. These T cells were then exposed to K562 target cells expressing CD19 only, GFP only, or both GFP and CD19. The CD4+ T cells only displayed expression of the  $\alpha$ -CD19 4-1BB $\zeta$  CAR when stimulated with cells expressing the synNotch ligand, GFP (Figure 3B). Moreover, these T cells only showed activation, as assayed by cytokine production, when exposed to target cells expressing both GFP and CD19 on their surface (Figures 3B and 3C).

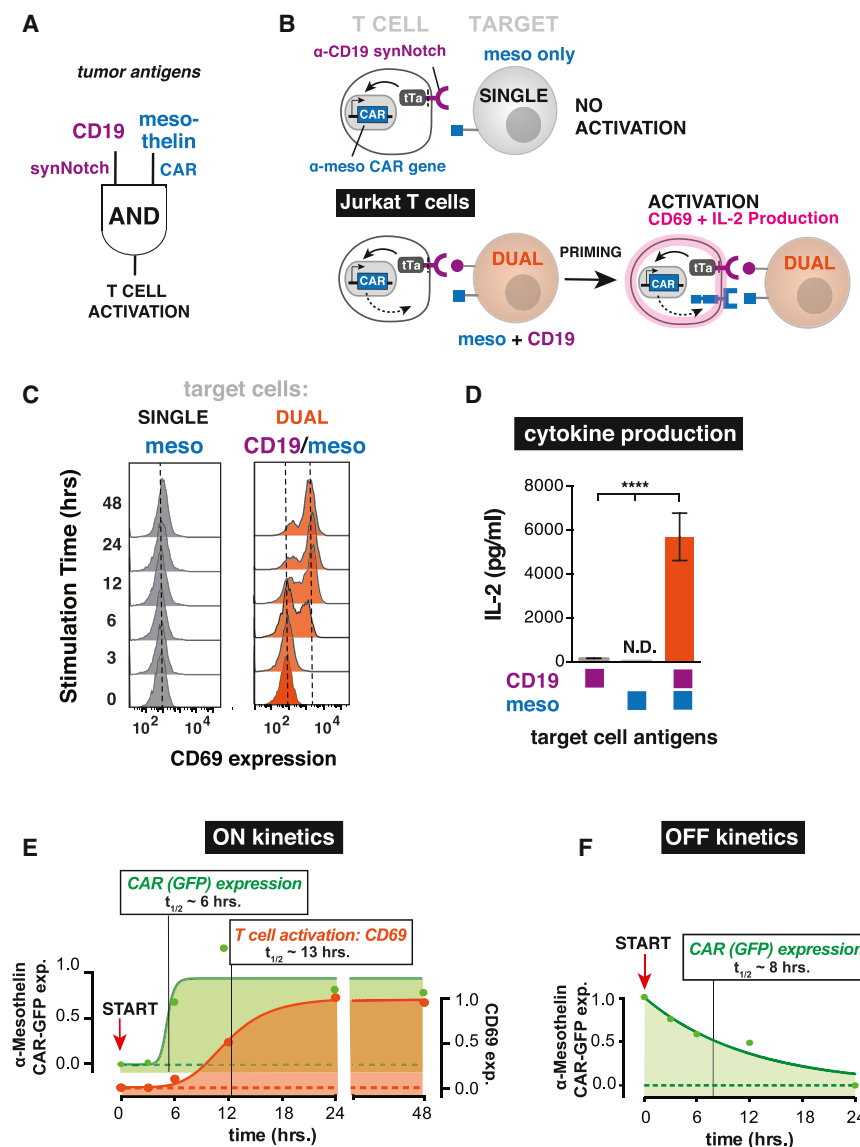
Human primary CD8+ cells containing the same dual-receptor circuit also showed AND-gate behavior, only killing targets when GFP and CD19 were present on the target cell (Figures 3D–3F). Thus, the synNotch AND-gate is functional in the critical cell types required for T cell immunotherapy in humans. To show the versatility and modularity of this approach, we tested two other synNotch/CAR AND-gate configurations, and they all showed combinatorial antigen requirements for CD4+ and CD8+ T cell activation (Figures S2A–S2I).

### SynNotch Receptors Drive Tumor-Localized CAR Expression In Vivo

Since synNotch receptors reliably gate CAR expression in primary T cells in vitro, we next tested whether T cells could be targeted to tumors in vivo via synNotch receptors and only express the CAR when in the tumor microenvironment. For these experiments, we injected bilateral xenograft CD19+ Daudi B cell lymphoblast tumors in immunocompromised NOD *scid* *IL-2R $\gamma$ <sup>-/-</sup>* (NSG) mice. Wild-type Daudi cells (containing no synNotch ligand) were injected subcutaneously in the left flank, while Daudi tumor cells also expressing surface GFP were injected in the right flank. After giving the tumors 10 days to implant, we injected primary CD4+ and CD8+ human T cells equipped with the  $\alpha$ -GFP synNotch Gal4VP64 receptor, the corresponding response elements controlling the expression of the  $\alpha$ -CD19 4-1BB $\zeta$  CAR, and an IRES enhanced firefly luciferase (efflux) reporter (Figures 4A, S3A, and S3B) (Rabinovich et al., 2008). We then monitored luciferase expression as a reporter for CAR expression over the course of 11 days. The T cells started to express the CAR selectively in the GFP+ Daudi tumor by day 1 and continually increased local expression of the CAR over the 11 day period in the dual antigen tumor (Figure 4B and 4C). The increase in luciferase signal in the target tumor is likely the result of a combination of synNotch-driven CAR expression and expansion of cells in the dual antigen target tumor (Figures S3B and S3C). No increase in luciferase was observed in the control GFP– tumor.

### Selective Combinatorial Antigen Tumor Clearance In Vivo by SynNotch-Gated CAR Expression

Now that we knew that the  $\alpha$ -GFP synNotch receptor could target T cells to tumors and control local expression of the  $\alpha$ -CD19 CAR, we tested whether the synNotch AND-gate T cells could selectively clear a dual antigen tumor in vivo. For



**Figure 2. SynNotch-Regulated CAR Expression—Combinatorial Antigen Requirement for Jurkat T Cell Activation**

(A) Engineering a two-receptor AND-gate circuit:  $\alpha$ -CD19 synNotch receptor induces  $\alpha$ -mesothelin CAR expression.

(B) Jurkat T cells were engineered with the  $\alpha$ -CD19 synNotch tTa receptor and the corresponding response elements controlling  $\alpha$ -mesothelin 4-1BB $\zeta$  CAR expression. The Jurkat T cells must first recognize CD19 on the target tumor via their synNotch receptor in order to initiate CAR expression. After the T cell is primed to activate by CD19, the  $\alpha$ -mesothelin CAR can then bind mesothelin and activate the Jurkat cell. Two canonical markers of T cell activation are CD69 upregulation and IL-2 production. The synNotch AND-gate Jurkat T cells should only activate when exposed to target tumor cells expressing both CD19 and mesothelin.

(C) Histograms of the activation marker CD69 in synNotch AND-gate Jurkat T cells co-cultured with single antigen (mesothelin only) or dual antigen (CD19/mesothelin) K562 tumor cells over a 48-hr time course. CD69 was only expressed when the T cells were exposed to dual antigen K562 cells (representative of three independent experiments).

(D) IL-2 ELISA showing IL-2 production by synNotch AND-gate Jurkat cells only when exposed to dual antigen K562 cells ( $n = 3$ , error bars are SEM, significance determined by Student's  $t$  test, \*\*\*\* =  $p \leq 0.0001$ ).

(E) Time course of AND-gate T cell activation upon stimulation with dual antigen K562 cells. Expression of the GFP-tagged mesothelin CAR (green) occurs with a half-time of  $\sim 6$  hr. Subsequently, activation of the T cell by CAR activation (monitored by CD69 expression) then occurs with a lag of several more hours ( $t_{1/2} = \sim 13$  hr). FACS histograms for CAR expression are shown in Figure S1B.

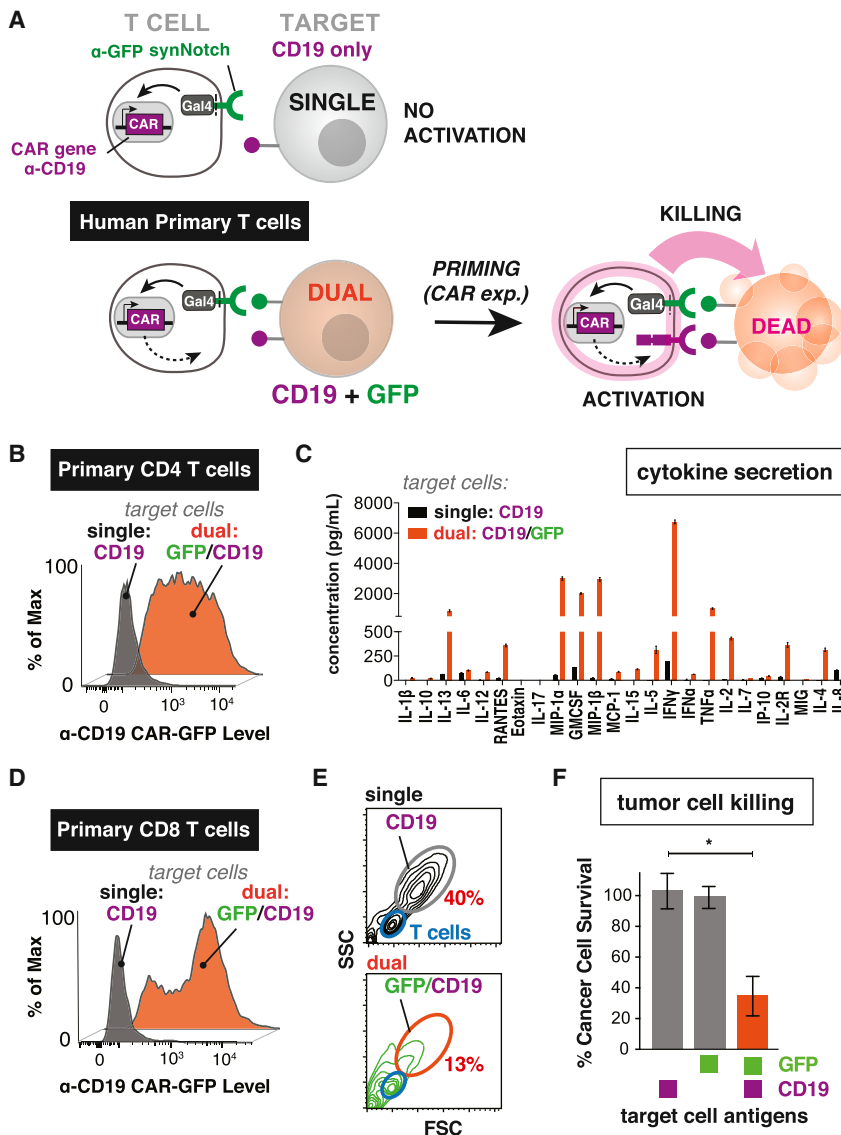
(F) Time course of AND-gate T cell inactivation upon removal of synNotch ligand. Jurkat T cells expressing the AND-gate circuit were stimulated for 24 hr by plate-bound  $\alpha$ -Myc antibody (synNotch receptor has extracellular Myc-tag). START indicates time at which cells were removed from the ligand, and the decay of GFP tagged CAR expression was monitored ( $t_{1/2} = \sim 8$  hr). FACS histograms for CAR expression are shown in Figure S1C.

these experiments, we set up a similar bilateral tumor model with K562 tumor cells (Figures 5A, S4A, and S4B). We implanted the tumors and allowed 4 days for implantation (K562 tumors grow more rapidly and establish larger tumors compared to Daudi cells). At day 4, we injected CD4 $^{+}$  and CD8 $^{+}$  T cells bearing the  $\alpha$ -GFP synNotch  $\rightarrow$   $\alpha$ -CD19 CAR AND-gate circuit and monitored tumor growth via caliper for 20 days (Figure 5A). We also treated a group of mice with untransduced control T cells to have a reference for tumor growth. In this experiment, the T cells are directly challenged to discriminate dual antigen “disease” tumors from single antigen “bystander” tissues within the same animal.

The synNotch AND-gate T cells displayed remarkably high and reproducible discriminatory action against the two tumors present in the same animal. In all animals, they selectively

cleared the dual antigen “disease” tumor (GFP $^{+}$ /CD19 $^{+}$ ) while leaving the single antigen “bystander” tumor (CD19 $^{+}$  only) unperturbed. These bystander single antigen tumors grew at rates similar to the negative control tumor treated with untransduced T cells (Figures 5B, 5C, and S4B). Thus, there is little detectable off-target killing of the “bystander” single antigen tumor.

We also set up single-tumor experiments where mice were implanted with either a single antigen (CD19 $^{+}$  only) or a dual antigen (GFP $^{+}$ /CD19 $^{+}$ ) K562 tumor. The mice were then treated with  $\alpha$ -GFP synNotch  $\rightarrow$   $\alpha$ -CD19 CAR AND-gate T cells or untransduced control T cells. The mice treated with control T cells all reached euthanasia criteria rapidly, regardless of the tumor type. The mice with GFP/CD19 tumors treated with the AND-gate T cells all lived, and the tumor was completely



**Figure 3. SynNotch-Regulated CAR Expression in Human Primary T Cells—Combinatorial Antigen Control over Therapeutic T Cell Activation and Tumor Killing**

(A) Human primary CD4<sup>+</sup> and CD8<sup>+</sup> T cells were engineered with the α-GFP nanobody synNotch Gal4VP64 receptor and the corresponding response elements controlling expression of the α-CD19 4-1BBζ CAR. These CD4<sup>+</sup> or CD8<sup>+</sup> synNotch AND-gate T cells first must sense surface GFP via their synNotch receptor, and only then do they express the α-CD19 CAR and are primed to activate. These AND-gate primary T cells should only activate and produce cytokine or kill target cells if they sense both GFP and CD19.

(B) Primary CD4<sup>+</sup> synNotch AND-gate T cells described in (A) were co-cultured with CD19 only or surface-GFP/CD19 K562 cells. Histograms of α-CD19 CAR GFP receptor expression level show that the CAR is only expressed when GFP is present on the surface of the target cell (representative of at least three independent experiments).

(C) The supernatant from CD4<sup>+</sup> synNotch AND-gate T cells activated either by CD19 only or GFP/CD19 K562s was analyzed for the presence of 25 cytokines via Luminex. Cytokines were only produced when the T cells were exposed to GFP/CD19 T cells (error bars are SEM, n = 3).

(D) CD8<sup>+</sup> synNotch AND-gate primary T cells were engineered as described in (A). As with the CD4<sup>+</sup> T cells, the histograms of α-CD19 CAR GFP receptor expression level show that the CAR is only expressed when GFP is present on the surface of the target cell (representative of at least three independent experiments).

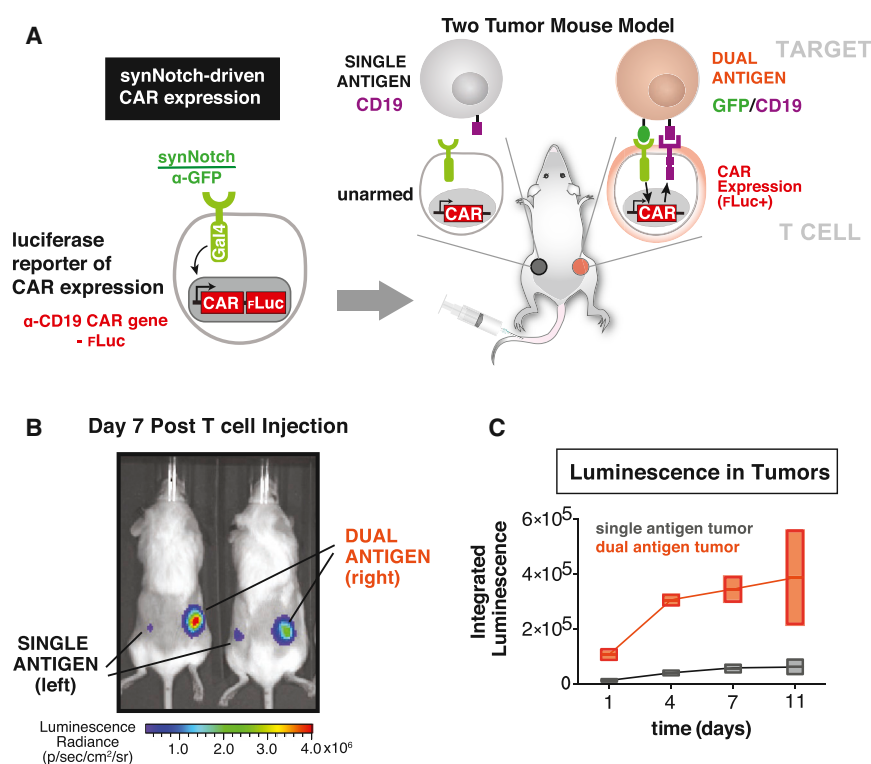
(E) Forward and side scatter flow cytometry plots after 24 hr co-culture of CD8<sup>+</sup> synNotch AND-gate primary T cells with either CD19 only or GFP/CD19 tumors cells. The T cells fall within the blue gate, and the target CD19 or the GFP/CD19 K562s are in the gray and orange gates, respectively. The synNotch AND-gate T cells only killed the GFP/CD19 K562s, shown by the reduction of cells in the K562 gate (representative of three experiments).

(F) Quantification of replicate CD8<sup>+</sup> synNotch AND-gate primary T cell cytotoxicity data shown in panel (E). (n = 3, error bars are SEM, significance determined by Student's t test, \* = p ≤ 0.05). Other examples of synNotch→CAR circuits in primary T cells are shown in Figure S2.

cleared by day 25 post-tumor injection (Figure 5D). Mice with CD19-only tumors treated with synNotch AND-gate T cells reached euthanasia criteria at the same rate as mice treated with untransduced T cells, suggesting there is no off-target killing of single antigen tumors (Figure 5D). These in vivo data collectively show that synNotch-gated CAR expression is an effective AND-gate allowing T cells to confine their activity to the tumor microenvironment and to only activate and kill in response to multiple antigens.

An important concern was whether the AND-gate T cells could engage a tumor expressing the synNotch ligand (GFP), become primed by expressing the α-CD19 CAR, and then migrate elsewhere to then kill single antigen (CD19<sup>+</sup> only) bystander tissues. To test this, we performed experi-

ments with a bilateral tumor model, but in this case, one tumor contained CD19<sup>+</sup>-only cells and the other tumor contained GFP<sup>+</sup>-only cells (two single antigen tumors) (Figure S4D). In these mice, it would in principle be possible for the T cells to be primed by the GFP<sup>+</sup>-only tumor, then migrate and kill the CD19<sup>+</sup> only tumors. Nonetheless, when we monitored growth of the CD19<sup>+</sup> tumor treated with AND-gate T cells, we found that it was identical to the growth observed when treated with negative control T cells (untransduced) (Figure S4E). Thus, there appears to be no evidence for priming of the AND-gate T cells and subsequent killing of bystander CD19<sup>+</sup> cells elsewhere. Based on our prior data (Figure 2), the decay of induced CAR expression is on the order of several hours, which is likely faster than the composite time



**Figure 4. SynNotch Receptors Drive Tumor-Localized CAR Expression In Vivo**

(A) Primary human CD4+ and CD8+ T cells were engineered with the  $\alpha$ -GFP synNotch Gal4VP64 receptor and the corresponding response elements regulating  $\alpha$ -CD19 4-1BB $\zeta$  CAR IRES efflux expression and were injected i.v. into NSG mice with a Daudi tumor (CD19 only) on the left flank and a surface GFP Daudi (GFP/CD19) tumor on the right flank. Luciferase expression was monitored over 11 days after i.v. injection of engineered T cells.

(B) A representative image of luciferase expression in mice treated as described in (A) at day 7 after T cell injection. Luciferase expression was high in the GFP/CD19 tumor, indicating localized CAR expression only in the dual antigen tumor (n = 2 mice).

(C) Quantification of integrated intensity of luciferase levels in the left-flank Daudi tumor (CD19 only) and surface-GFP Daudi tumor (GFP/CD19) in the right flank. Luciferase expression is enriched in the dual antigen tumor at all time points (error is SD, n = 2).

that would be required for migration out of the priming tumor, combined with the time required for CAR-mediated T cell activation in the bystander tumor. These activation/inactivation dynamics could explain the requirement for highly local dual antigens.

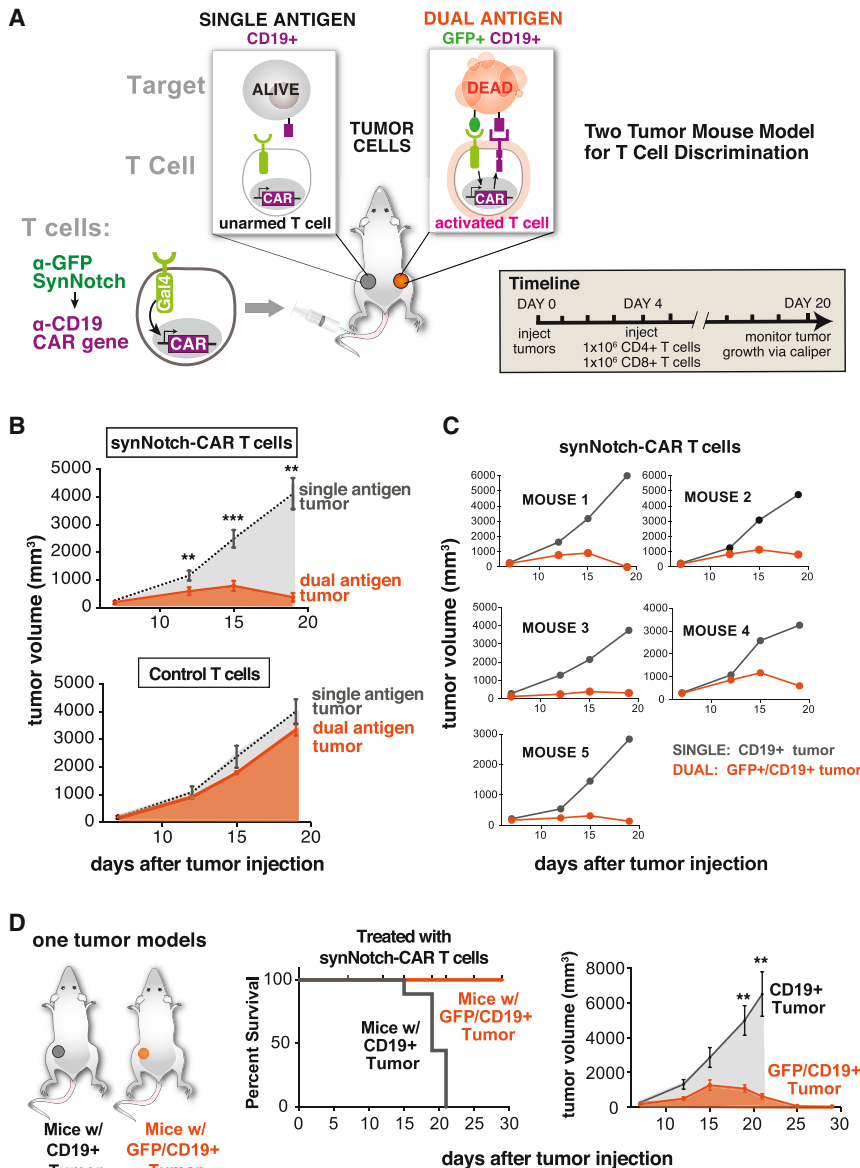
## DISCUSSION

### The Discriminatory Power of Dual Antigen Sensing SynNotch → CAR Circuits in Therapeutic T Cells

The general concept of utilizing synNotch receptors to regulate expression of receptors that drive T cell activation (e.g., CARs or TCRs) has great potential to improve the safety and effectiveness of T cell therapies by precisely and reliably localizing when and where the therapeutic (and toxic) action of T cells occurs. Here, we have shown that synNotch AND-gate T cells reliably discriminate tumors with two identifying antigens from tissues expressing only one of these antigens. The AND-gate circuit works in a sequential manner—the synNotch receptor first recognizes a tumor-localized antigen, thereby driving the expression of a CAR that recognizes a second tumor antigen. The CAR then mediates T cell activation only if the second cognate antigen is present. This strategy (1) restricts the expression of the CAR to the tumor microenvironment and (2) has the potential to overcome the problem of off-target cross reaction that can occur with conventional CAR T cells when the target antigen is also present in bystander tissues (Figures 6A and 6B). Specific discrimination for a tumor could be achieved if the tumor has a unique combinatorial antigen profile that distinguishes it from other bystander tissues.

This approach for combinatorial antigen recognition is a critical advance for T cell therapies, as most other combinatorial antigen recognition strategies involve integrated signaling from multiple partially functional CARs that work cooperatively or antagonistically to control the activation of the T cell (Fedorov et al., 2013; Kloss et al., 2013; Wilkie et al., 2012). Combinatorial control over T cell activation with the synNotch-CAR AND-gate has several benefits compared to the multiple CAR approach. Importantly, the synNotch receptor by itself does not directly trigger T cell activation at all—it is completely independent from CAR/TCR signaling. Therefore, synNotch receptor engagement by itself does not inflict any damage on the synNotch antigen bearing tissue—it simply results in the priming response of inducing CAR expression.

In contrast, when multiple CARs are expressed for combinatorial antigen gating of the T cell response, there are often scenarios where the partial signaling through one of the receptors can generate sufficient T cell activity to cause some off-target tissue damage (Wilkie et al., 2012). Multiple CAR strategies are dependent on achieving a delicate balance of signaling and, thus, have inherent limitations on generating digital-like AND-gate behavior (no activity toward single antigens, maximal activity toward dual antigens). Moreover, many parameters of multi-CAR systems must be precisely controlled, including the level and ratio of the complementary receptors and their relative signaling strength. The amount of the target antigens present on different tissues in the body can also complicate the ability of the T cells to truly exhibit AND-gate logic (Kloss et al., 2013; Wilkie et al., 2012). While these multi-CAR systems are an exciting and important approach to enhance tumor targeting, a wider range of combinatorial-sensing strategies will improve our ability to treat a variety of tumors and diseases with T cell



**Figure 5. Selective Combinatorial Antigen Tumor Killing In Vivo by SynNotch-Gated CAR Expression**

(A) Primary human CD4+ and CD8+ T cells were engineered with the  $\alpha$ -GFP synNotch Gal4VP64 receptor and the corresponding response elements regulating  $\alpha$ -CD19 4-1BB $\zeta$  CAR expression and were injected i.v. into NSG mice with a CD19 K562 tumor on the left flank and a surface-GFP/CD19 K562 tumor on the right flank. Tumor size was monitored over 16 days after i.v. injection of engineered T cells or untransduced T cell controls.

(B) Graphs showing CD19 and GFP/CD19 tumor volumes for mice treated with synNotch AND-gate T cells (top) and untransduced control T cells (bottom). synNotch AND-gate T cells target the dual antigen tumor exclusively and the CD19-only tumor grew at the same rate as in mice treated with untransduced control T cells ( $n = 5$  mice, error bars are SEM, significance determine by Student's  $t$  test, \*\* =  $p \leq 0.01$ , \*\*\* =  $p \leq 0.001$ ).

(C) Tumor volume measurement for individual mice treated with synNotch AND-gate T cells. All mice showed selective killing of the dual antigen tumor. (D) Kaplan-Meier graphs showing synNotch AND-gate T cells clear GFP/CD19 tumors with 100% of the mice surviving. Mice with CD19-only tumors are not cleared by synNotch AND-gate T cells and have uncontrolled tumor growth. The corresponding tumor growth curves are given on the right of (D) ( $n = 5$  mice, error bars are SEM, significance determine by Student's  $t$  test, \*\* =  $p \leq 0.01$ ).

to the time required for effective migration and for full T cell activation by the CAR, preventing such issues (Figure 2).

Moreover, there are other factors that are likely to strongly amplify T cell action within a dual antigen "disease" tumor. First, induced expression of the CAR will result in two recognition domains that may more strongly retain the T cells in the dual antigen tumor. Second, and perhaps most importantly, the resulting T cell activation will induce both local IL-

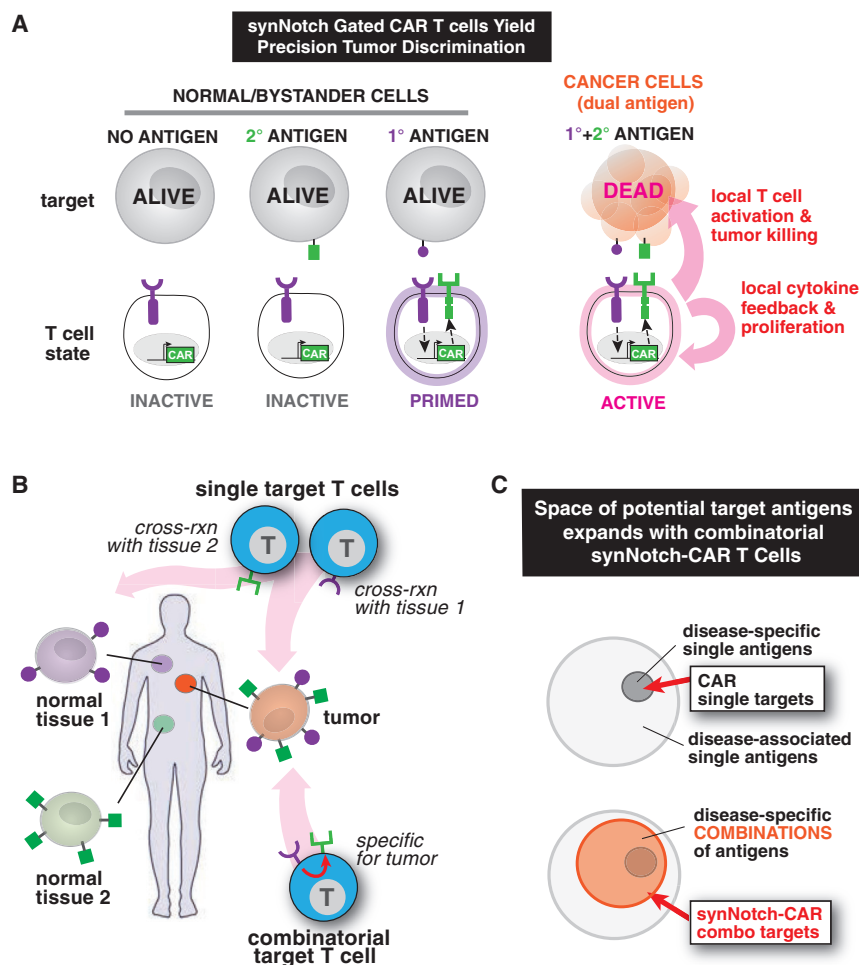
2 release and proliferation, leading to a strong positive feedback loop of local T cell expansion and activation. It is likely that these local positive feedback loops have multiplicative effects, contributing to the remarkable degree of discrimination that we observe with these AND-gate T cells.

### Factors Contributing to Robust Dual Antigen Discrimination

There are also valid concerns for the synNotch-gated CAR T cells, including the potential for cells to leave the "priming" tissue and cause damage to off-target tissues that express only the CAR antigen. We have performed in vivo experiments to determine the extent to which this is a problem and have found that, for our dual tumor model, T cells do not migrate from the region of priming to then kill a "bystander" tumor (Figures S4D and S4E). We hypothesize that once synNotch engagement is ceased, the decay of CAR expression is fast (hours) compared

to the time required for effective migration and for full T cell activation by the CAR, preventing such issues (Figure 2).

Moreover, there are other factors that are likely to strongly amplify T cell action within a dual antigen "disease" tumor. First, induced expression of the CAR will result in two recognition domains that may more strongly retain the T cells in the dual antigen tumor. Second, and perhaps most importantly, the resulting T cell activation will induce both local IL-



**Figure 6. SynNotch Receptors Control and Localize CAR T Cell Responses for Precision Immunotherapy**

(A) Here, we engineered T cells with synNotch receptors that sense tumor antigens and upregulate expression of a CAR to a second antigen. Thus, these synNotch AND-gate T cells only activate in response to combinatorial antigen recognition in the tumor microenvironment, preventing off-target toxicity mediated by single antigen recognition.

(B) SynNotch AND-gate T cells, unlike therapeutic T cells that target single antigens, can reliably discriminate combinatorial antigen targets from single antigen bystander tissue. Combinatorial antigen sensing by synNotch-CAR T cells could aid in precisely targeting T cells to tumors, preventing off-target toxicity.

(C) synNotch receptors expand the targetable tumor antigen space. Tumor-specific antigens are rare compared to tumor-associated antigens (antigens that are expressed on normal tissue but are more highly expressed on tumors). Since CARs fully activate T cells, resulting in the killing of target tissue, T cells engineered with a single CAR must be targeted to tumor-specific antigens in order to reduce fatal off-target toxicity (upper venn diagram). SynNotch receptors can gate CAR expression and control where the T cells are armed. When targeting tumor-specific antigen combinations, it may now be possible to use CAR receptors directed toward tumor-associated antigens. This should reduce off-target damage to tissues that express the CAR antigen in other parts of the body.

controlled manner with no observable off-target toxicity but could likely be further improved or tailored for particular tumor contexts.

### SynNotch Receptors Increase the Landscape of Targetable Antigens for T Cell Therapies

Most engineered T cell strategies have focused on identifying and targeting a single tumor-specific antigen with a CAR or engineered TCR. Thus, the rarity of these truly tumor-specific antigens has limited this approach. Although there are many tumor-associated antigens, few of them are truly tumor specific. Many tumors, particularly solid tumors, overexpress antigens that could be targeted but are also expressed at lower levels in other bystander tissues.

The robust AND-gate dual antigen detection demonstrated by the synNotch → CAR T cells now opens the possibility that tumors could be targeted based on combinatorial antigen signatures (Figure 6C). It is far more likely that multiple antigens will provide higher discriminatory power between tumor and normal tissues. For example, it might be possible to target both a disease-associated antigen and a tissue-specific (normal) antigen to more precisely attack a particular type of cancer cell. Tissue-specific antigen detection by synNotch receptors could restrict the prim-

ing of therapeutic T cells only to particular tissues. This is a unique way in which synNotch receptors could both increase the therapeutic effect and reduce systemic toxicity.

Dual antigen AND-gate T cells might allow more widespread use of CARs and TCRs directed to tumor-associated antigens that, when recognized by themselves, often yield toxic side effects (e.g., the  $\alpha$ -Her2 CAR) (Barrett et al., 2014b; Dotti et al., 2014; Morgan et al., 2010). SynNotch receptors could be used to gate the expression of this class of CARs to confine their expression to the disease site, away from off-target tissue. SynNotch receptors thus increase the landscape of targetable antigens for CARs and may facilitate the usage of CARs that have so far been thought to have high toxic potential (Figure 6C) (Dotti et al., 2014).

SynNotch receptors are a general and powerful platform to not only localize therapeutic T cell activity, but also to build combinatorial antigen-sensing capabilities that enhance the ability of any therapeutic cell to recognize diseased target tissues with high precision and specificity. While much development remains, the versatility and modularity of the synNotch receptor system could in principle be used to program therapeutic cells to perform a large spectrum of combinatorial logical decisions extending beyond dual antigen sensing.

## EXPERIMENTAL PROCEDURES

### SynNotch Receptor and Response Element Construct Design

SynNotch receptors were built by fusing the CD19 scFv (Porter et al., 2011), LaG17 (lower affinity GFP), or LaG16\_2 (high-affinity GFP) nanobody (Fridy et al., 2014) to the mouse Notch1 (NM\_008714) minimal regulatory region (Ile1427 to Arg1752) and Gal4 DBD VP64 or TetR VP64 (tTa). All synNotch receptors contain an n-terminal CD8 $\alpha$  signal peptide (MALPVTALLPLALL HAARP) for membrane targeting and a myc-tag (EQKLISEEDL) for easy determination of surface expression with  $\alpha$ -myc A647 (cell-signaling #2233; see Morsut et al., [2016] for receptor synNotch receptor peptide sequences). The receptors were cloned into a modified pHR'SIN:CSW vector containing a PGK promoter for all primary T cell experiments. The pHR'SIN:CSW vector was also modified to make the response element plasmids. Five copies of the Gal4 DNA binding domain target sequence (GGAGCACTGTCTCC GAACG) were cloned 5' to a minimal CMV promoter. Also included in the response element plasmids is a PGK promoter that constitutively drives mCherry expression to easily identify transduced T cells. For all inducible CAR vectors, the CARs were tagged c-terminally with GFP and were cloned via a BamHI site in the multiple cloning site 3' to the Gal4 response elements. All constructs were cloned via in fusion cloning (Clontech #ST0345).

### Primary Human T Cell Isolation and Culture

Primary CD4+ and CD8+ T cells were isolated from anonymous donor blood after apheresis by negative selection (STEMCELL Technologies #15062 and #15063). Blood was obtained from Blood Centers of the Pacific, as approved by the University Institutional Review Board. T cells were cryopreserved in RPMI-1640 (UCSF cell culture core) with 20% human AB serum (Valley Biomedical, #HP1022) and 10% DMSO. After thawing, T cells were cultured in human T cell medium consisting of X-VIVO 15 (Lonza #04-418Q), 5% Human AB serum, and 10 mM neutralized N-acetyl L-Cysteine (Sigma-Aldrich #A9165) supplemented with 30 units/mL IL-2 (NCI BRB Preclinical Repository) for all experiments.

### Lentiviral Transduction of Human T Cells

Pantropic VSV-G pseudotyped lentivirus was produced via transfection of Lenti-X 293T cells (Clontech #11131D) with a pHR'SIN:CSW transgene expression vector and the viral packaging plasmids pCMV $\Delta$ DR8.91 and pMD2.G using Fugene HD (Promega #E2312). Primary T cells were thawed the same day and, after 24 hr in culture, were stimulated with Human T-Activator CD3/CD28 Dynabeads (Life Technologies #11131D) at a 1:3 cell:bead ratio. At 48 hr, viral supernatant was harvested and the primary T cells were exposed to the virus for 24 hr. At day 4 after T cell stimulation, the Dynabeads were removed, and the T cells expanded until day 9 when they were rested and could be used in assays. T cells were sorted for assays with a Beckton Dickinson (BD) FACs ARIA II. AND-gate T cells exhibiting basal CAR expression were gated out during sorting.

### Generation of SynNotch AND-Gate Jurkat T Cells

E6-1 Jurkat T cells (ATCC# TIB-152) were lentivirally transduced with the  $\alpha$ -CD19 synNotch tTa receptor (Myc-tagged) and a TRE3GS inducible promoter controlling expression of the  $\alpha$ -mesothelin 4-1BB $\zeta$  CAR that also contains a constitutive cassette driving mCherry expression. After viral transduction, the Jurkat cells, with expression of both the receptor and response elements, were single-cell sorted into 96-well plates with a FACs ARIA II. Single-cell clones that grew out in culture were then assessed for retained expression of the constructs and used in assays.

### Cancer Cell Lines

The cancer cell lines used were K562 myelogenous leukemia cells (ATCC #CCL-243) and Daudi B cell lymphoblasts (ATCC #CCL-213). K562s were lentivirally transduced to stably express human CD19 at equivalent levels as Daudi tumors. CD19 levels were determined by staining the cells with  $\alpha$ -CD19 APC (Biolegend #302212). K562s and Daudi cells were also transduced to stably express surface GFP (GFP fused to the PDGF transmembrane domain). The CD19 and surface-GFP peptide sequences can be found in Morsut et al., (2016). All cell lines were sorted for expression of the transgenes.

### In Vitro Stimulation of SynNotch T cells

For all in vitro synNotch T cell stimulations,  $2 \times 10^5$  T cells were co-cultured with sender cells at a 1:1 ratio. After mixing the T cells and cancer cells in round bottom 96-well tissue culture plates, the cells were centrifuged for 1 min at  $400 \times g$  to force interaction of the cells, and the cultures were analyzed at 24 hr for markers of activation (e.g., CD69) for CD4+ T cells and specific lysis of target tumor cells for CD8+ T cells with a BD LSR II. All flow cytometry analysis was performed in FlowJo software (TreeStar).

### Luminex MAGPIX Cytokine Quantification

Primary CD4+ T cells expressing the  $\alpha$ -CD19 synNotch Gal4VP64 receptor and 5 $\times$  Gal4 response elements controlling the CAR were stimulated as described above with the indicated target cancer cell line. The supernatant was collected at 24 hr and analyzed with a Luminex MAGPIX (Luminex) Human Cytokine Magnetic 25-plex Panel (Invitrogen ref#LHC0009M) according to the manufacturer's protocol. All cytokine levels were calculated based on standard curves with xPONENT software (Luminex).

### IL-2 ELISA and CD69 Staining

Primary CD4+ or Jurkat synNotch AND-Gate T cells were stimulated with the indicated cancer cell line as described above for 24 hr and supernatant was harvested. IL-2 levels in the supernatant were determined via IL-2 ELISA (eBiosciences #BMS2221HS). The T cells were also collected and stained with  $\alpha$ -CD69 APC (Biolegend #310910) to determine if they were activated.

### Assessment of SynNotch AND-Gate T Cell Cytotoxicity

CD8+ synNotch AND-Gate T cells were stimulated for 24 hr as described above with target cells expressing the indicated antigens. The level of specific lysis of target cancer cells was determined by comparing the fraction of target cells alive in the culture compared to treatment with untransduced T cell controls. Cell death was monitored by uptake of the live/dead stain SYTOX Blue (Thermo Scientific #S34857) and shifting of the target cells out of the side scatter and forward scatter region normally populated by the target cells.

### In Vitro Quantification of Luciferase Reporter Activity in SynNotch T Cells

Sorted CD4+ and CD8+ primary human T cells engineered to express the  $\alpha$ -GFP nanobody (LaG17) synNotch Gal4VP64 receptor and the corresponding response elements controlling  $\alpha$ -CD19 4-1BB $\zeta$  CAR IRES efflux expression were stimulated with GFP+ or GFP- Daudi cells for 24 hr ( $2 \times 10^5$  T cells and  $2 \times 10^5$  K562s). Production of efflux was assessed with the ONE-glo Luciferase Assay System (Promega #E6110). Bioluminescence was measured with a FlexStation 3 (Molecular Devices).

### In Vivo Luciferase Imaging of SynNotch T Cells

Animal studies were conducted with the UCSF Preclinical Therapeutics Core under a protocol approved by the UCSF Institutional Animal Care and Use Committee. Ten days prior to T cell injection, Daudi tumors and surface-GFP Daudi tumors were injected subcutaneously into the left and right flanks of mice (female, ~8- to 12-weeks old, Jackson Laboratory #005557). Sorted CD4+ and CD8+ primary human T cells engineered to express the  $\alpha$ -GFP nanobody (LaG17) synNotch Gal4VP64 receptor and the corresponding response elements controlling  $\alpha$ -CD19 4-1BB $\zeta$  CAR IRES efflux expression were injected at a 1:1 CD4+ to CD8+ T cell ratio ( $1 \times 10^6$  of each T cell type) intravenously (i.v.) into the tumor-bearing mice 10 days after tumor implantation. Luciferase expression was monitored over 11 days with bioluminescent imaging performed using the IVIS 100 (Xenogen) preclinical imaging system at the indicated time points. Images were acquired 10 min following intraperitoneal (i.p.) injection with 150 mg/kg of D-luciferin (Gold Technology #LUCK-100). Quantification of integrated bioluminescence intensities was quantified in ImageJ (NIH).

### In Vivo Dual Antigen Tumor Targeting by SynNotch AND-Gate T Cells

NSG mice were implanted with two xenograft tumors— $5 \times 10^6$  CD19+ and GFP+/CD19- K562 tumor cells subcutaneously on the left and right flank, respectively. Four days after tumor implantation,  $1 \times 10^6$  primary human CD4+ and CD8+ T cells ( $2 \times 10^6$  total T cells) were injected i.v. into the

mice. These T cells were either untransduced (control) or engineered with the  $\alpha$ -GFP synNotch Gal4VP64 receptor and the corresponding response elements regulating  $\alpha$ -CD19 4-1BB $\zeta$  CAR expression. Tumor size was monitored by the UCSF Preclinical Therapeutics Core staff via caliper over 20 days after T cell injection. For Kaplan-Meier experiments, the same protocol was used but single tumors were injected into the mice. Mice were considered dead when the tumor size reached euthanasia criteria.

### Statistical Analysis and Curve Fitting

Statistical significance was determined by Student's t test (two-tailed) unless otherwise noted. All statistical analysis and curve fitting was performed with Prism 6 (Graphpad) and p values are reported (not significant =  $p > 0.05$ , \* =  $p \leq 0.05$ , \*\* =  $p \leq 0.01$ , \*\*\* =  $p \leq 0.001$ , \*\*\*\* =  $p \leq 0.0001$ ). All error bars represent either SEM or SD.

### SUPPLEMENTAL INFORMATION

Supplemental Information includes four figures and can be found with this article online at <http://dx.doi.org/10.1016/j.cell.2016.01.011>.

### AUTHOR CONTRIBUTIONS

K.T.R., L.J.R., L.M., and W.A.L. conceived and designed the experiments. K.T.R. and L.J.R. performed experiments. K.T.R. analyzed the data. J.S.P. helped set up the Daudi tumor model in NSG mice. W.J.W. and K.A.M. provided technical assistance. K.T.R., L.M., and W.A.L. wrote and edited the paper. W.A.L. is a founder of Cell Design Labs and a member of its scientific advisory board.

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